## JC10 Rec'd PCT/PTO 03 AUG 2005

PTO-1390 (Rev. 07-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER
3212-01
U.S. APPLICATION NO (lifknos), 9e 7 CFR 1.5)

CONCERNING A SUBMISSION UNDER 35 U.S.C. 371			U.S. APPLICATION NO. (If known 7 e 7 CFR 1.5)				
INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE		PRIORITY DATE CLAIMED					
PCT/US2004/003572 4 February 2004		7 February 2003					
Gel C	FINVENTION Composition For Optica	il Fiber Cable					
	APPLICANT(S) FOR DO/EO/US THE LUBRIZOL CORPORATION; Saurabh S. Lawate and						
Applican		Silverstein tates Designated/Elected Office (DO/E	EO/US) the following items and other information:				
2.	This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.						
з. 🔲	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.						
	The US has been elected (Article 31).						
5. X	A copy of the International Application	n as filed (35 U.S.C. 371(c)(2))					
	a. is attached hereto (required	d only if not communicated by the Internati	ional Bureau).				
	b. has been communicated by	y the International Bureau.					
<u> </u>	c. X is not required, as the applic	ication was filed in the United States Rece	siving Office (RO/US).				
6.	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).						
	a. is attached hereto.						
	b. has been previously submitted under 35 U.S.C. 154(d)(4).						
7. X	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))						
	a. are attached hereto (required only if not communicated by the International Bureau).						
	b. have been communicated by the International Bureau.						
	c. have not been made; how	c. have not been made; however, the time limit for making such amendments has NOT expired.					
	d. X have not been made and will not be made.						
8.	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).						
9.	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).						
10.	An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).						
	Items 11 to 20 below concern document(s) or information included:						
11. X	An Information Disclosure Statement under 37 CFR 1.97 and 1.98.						
12.	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.						
13. X	A preliminary amendment.						
14.	An Application Data Sheet under 37 CFR 1.76.						
15.	A substitute specification.						
16. X	A power of attorney and/or change of address letter. (unexecuted)						
17.	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.						
18.	A second copy of the published International Application under 35 U.S.C. 154(d)(4).						
19.	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).						

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

### JC09 Rec'd PCT/PTO 03 AUG 2005.

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U.S. APPLICATION NO. (if known, see 37 CER 1	ATTORNEY'S DOCKET NUMBER 3212-01						
20. Other items or information: Form PTO-1449 and copies of foreign cited art;  Certification Under 37 CFR 1.10; Return Receipt Card							
The following fees have been submitted	CALCULATIONS	PTO USE ONLY					
21. X Basic national fee (37 CFR 1.492(a))			\$ 300.00				
22. X Examination fee (37 CFR 1.492(c))  If the written opinion prepared by ISA/US or the ir by IPEA/US indicates all claims satisfy pr All other situations	\$ 200.00						
23. X Search fee (37 CFR 1.492(b))  If the written opinion of the ISA/US or the Internat IPEA/US indicates all claims satisfy provi Search fee (37 CFR 1.445(a)(2)) has been paid of International Searching Authority	\$ 500.00						
TOTAL OF 21, 22 and 23 =	1,000.00						
Additional fee for specification and drawings sequence listing in compliance with 37 Cl electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 she							
i i i i i i i i i i i i i i i i i i i	ch additional 50 or fraction nd up to a whole number)	RATE					
11 - 100 = 0 /50 =	_	x \$250	\$ 0.00	• 			
Surcharge of \$130.00 for furnishing any of the seafter the date of commencement of the national s	\$ 0.00						
CLAIMS NUMBER FILED	NUMBER EXTRA	RATE	\$				
Total claims 23 - 20 =	3	× \$ 50	\$ 150.00				
Independent claims 1 - 3 =	0	× \$200	\$ 0.00				
MULTIPLE DEPENDENT CLAIM(S) (if applicable	\$ 0.00						
	\$ 1,150.00						
Applicant claims small entity status. See 37 C	0.00						
		SUBTOTAL =	\$ 1,150.00				
Processing fee of \$130.00 for furnishing the Engli claimed priority date (37 CFR 1.492(i)).	\$ 0.00	_					
	\$ 1,150.00	-					
Fee for recording the enclosed assignment (37 C by an appropriate cover sheet (37 CFR 3.28, 3.31	\$						
	\$ 1,150.00						
	-		Amount to be refunded:	\$			
			Amount to be charged	\$			

# 10/544276 JC09 Rec'd PCT/PTO 03 AUG 2005.

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a. 🔲	A check in the amount of \$ to cover the above					
b. X	Please charge my Deposit Account No. $\underline{12-2275}$ in the amount of \$ $\underline{1,150}$ to cover the above fees. A duplicate copy of this sheet is enclosed.					
c. X	The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 12-2275. A duplicate copy of this sheet is enclosed.					
d. 🗀	Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.						
SEND A Sam The 294	uel B. Laferty Lubrizol Corporation 00 Lakeland Boulevard kliffe, Ohio 44092-2298	SIGNATURE Samuel B. Laferty  NAME 31,537  REGISTRATION NUMBER				

### CERTIFICATE OF MAILING BY "EXPRESS MAIL" UNDER 37 CFR 1.10 – SEPARATE PAPER

Applicant:

The Lubrizol Corporation et al.

Docket No.: 3212-01

Serial No:

Unknown

For:

GEL COMPOSITION FOR OPTICAL FIBER CABLE

#### **EXPRESS MAIL CERTIFICATE**

"Express Mail" Label No.: EU990977595US

Date of Deposit: Aug 3, 2005

I hereby certify that this paper or fee is being deposited in the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: MAIL STOP PCT, COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450.

Respectfully submitted,

The Lubrizol Corporation 29400 Lakeland Boulevard Wickliffe, Ohio 44092-2298

Ph: (216) 447-5541 Fax: (440) 347-1110

**Enclosures:** 

Return Card

**Express Mail Certificate** 

Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Submission Under 35 U.S.C. 371

Patent Application – 11 pages **Preliminary Amendment** 

Information Disclosure Statement and Form PTO-1449

Foreign Cited Art

Unexecuted Declaration and Power of Attorney